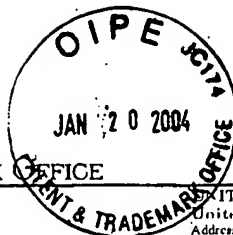




## UNITED STATES PATENT AND TRADEMARK OFFICE

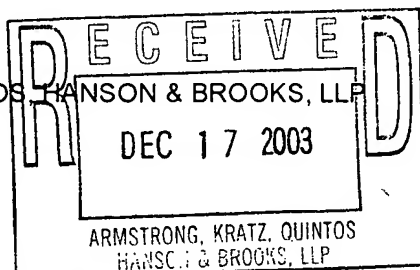


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/667,600	09/23/2003	3742	533	031177	8	18 22	3

23850

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006



CONFIRMATION NO. 9757

## FILING RECEIPT



\*OC000000011504502\*

Date Mailed: 12/16/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Higashiosaka-shi  
 Isoji Yao, Osaka, JAPAN;

Assignment For Published Patent Application

NAOMOTO INDUSTRY CO., LTD., Osaka-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JP 2003-315715 09/08/03

If Required, Foreign Filing License Granted: 12/12/2003

Projected Publication Date: 03/24/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Hair iron device

DOCKETED

12/19/03

**Preliminary Class**

219

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

031177

Total Pages

First Named Inventor or Application Identifier

Isoji YAO

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS For:  
**HAIR IRON DEVICE**

ADDRESS TO: Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS  
P.O. Box 1450  
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages **[18]**
3. ☒ Drawing(s) (35 USC 113) Total Sheets **[8]**
4. ☒ Oath or Declaration Total Pages **[4]**
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed).
  - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: NAOMOTO INDUSTRY CO., LTD., Osaka-shi, Japan.  
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

031177

First Named Inventor or Application Identifier

Isoji YAO

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement [1] Copy of IDS Citations w/PTO-1449 and 1 reference.
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☒ Small Entity Status is claimed.
15. ☐ Claim for Convention Priority ☐ Certified copy of Priority Document  
a. Priority of \_\_\_\_\_ application no. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 750.00
Total Claims	22 - 20	2	x \$18.00	\$ 36.00
Independent Claims	3 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	\$ 280.00
Filing Fee				\$1066.00
Reduction by 1/2 for small entity				\$ 533.00
Fee for recording enclosed Assignment			\$40.00	\$ 40.00
TOTAL				\$ 573.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

031177

First Named Inventor or Application Identifier

Isoji YAO

PAGE 3 OF 3

[XX] A check in the amount of \$573.00 is enclosed to cover the filing fee of \$375.00, the fee of \$18.00 for extra claims, the fee of \$140.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

[ ] Please charge our Deposit Account No. 01-2340 in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340.

**18. CORRESPONDENCE ADDRESS:**

Armstrong, Westerman & Hattori, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006

Tel: (202) 659-2930

Fax: (202) 887-0357



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PATENT TRADEMARK OFFICE

Typed or Printed Name **William L. Brooks** Reg. No. **34,129**

Signature

*William L. Brooks*

Date: **September 23, 2003**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of: **YAO, Isoji**

Attention: **Applications Division**

Serial Number: **10/667,600**

Group Art Unit: **3742**

Filed: **September 23, 2003**

**P.T.O. Confirmation No.: 9757**

For: **HAIR IRON DEVICE**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: January 20, 2004

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the **TOT CLMS** was incorrect; the inventor's city was incorrect and the Foreign Applications information was omitted. Copies of the **Utility Patent Application Transmittal and the Declaration for U.S. Patent Application** are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our  
Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

William L. Brooks  
Attorney for Applicant  
Reg. No. 34,129

WLB/alw  
Atty. Docket No. 031177  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt  
Utility Patent Application Transmittal  
Declaration for U.S. Patent Application